FORM PTO-1390) (REV. 10-2003)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A FILING UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER 520.46379X00 U.S. APPLICATION NO. (F. Incom., 637 CFR 1.5)
PRIORITY DATE CLAIMED

INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE

| PCT/JP2005/004602 | | March 16, 2005 | March 19, 2004 | | | | | | | |
|---|--|----------------|----------------|--|--|--|--|--|--|--|
| TITLE OF INVENTION Method of Friction Stir-Spot Welding and Welding Apparatus | | | | | | | | | | |
| APPLICANT(S) FOR DO/EO/US Satoshi HIRANO Kinya AOTA Masahisa INAGAKI Kazutaka OKAMOTO Tomio ODAKURA | | | | | | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | | | |
| 1. 🛛 | This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | | | |
| 2. 🔲 | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | | | |
| 3. 🖾 | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | | | |
| 4. 🛛 | The US has been elected (Article 31). | | | | | | | | | |
| 5. | A copy of the International Application as filed (35 U.S.C. 371(c)(2))) a. is attached hereto (required only if not communicated by the International Bureau). b. has been communicated by the International Bureau. c. is not required, as the application was filed in the United States Receiving Office(RO/US) | | | | | | | | | |
| 6. 🛛 | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. | | | | | | | | | |
| 7. 🗌 | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. c. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. | | | | | | | | | |
| 8. 🔲 | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | | | | |
| 9. 🛛 | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | | | | | | | | |
| 10. | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | | | | |
| Items | s 11 to 20 below concern document(s) or information included: | | | | | | | | | |
| 11. 🛛 | An Information Disclosure Statement under 37 CFR 1.97 and 1.98. | | | | | | | | | |
| 12. 🛛 | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | | | | | | | | | |
| 13. 🔲 | A preliminary amendment. | | | | | | | | | |
| 14. 🔲 | An Application Data Sheet under 37 CFR 1.76. | | | | | | | | | |
| 15. 🔲 | A substitute specification. | | | | | | | | | |
| 16. 🔲 | A power of attorney and/or address letter. | | | | | | | | | |
| 17. 🔲 | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. | | | | | | | | | |
| 18. 🔲 | A second copy of the published international application under 35 U.S.C. 154(d)(4). | | | | | | | | | |
| 19. 🔲 | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | | | | | | |
| 20. 🔯 Payment | Other items or information: Figs.: 1-10; Request for Examination and Search; PCT Attachment; Credit Card Form | | | | | | | | | |

IAP9 Rec'd PCT/PTO 18 AUG 2006

| U.S. APPLICATION NO. 100 G SQ 7 BR 1.5) Not Oct Assigned Processing Control of the Control of t | | | | | ATTORNEY'S DOCKET NUMBER 520.46379X00 | | |
|--|-------------------|---|---------------------------------------|---|---------------------------------------|-----------------|--|
| The forlowing fees have been submitted: | | | | | CALCULATIONS | PTO USE ONLY | |
| 21. 🗷 Basic national fee \$300 | | | | | \$300.00 | | |
| 22. Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provision of PCT Article 33(1)-(4) All other situations \$200 | | | | | \$200.00 | | |
| 23. Scarch fee Scarch fee (37 CFR 1.445(a)(2) has pa to the USPTO as an International Sear International Search Report prepared a All other situations | 100 100 500 | \$400.00 | | | | | |
| TOTAL | OF 21, | 22 and 23 = | | | \$900.00 | | |
| Additional fee for specification and dra listing or computer program listing file additional 50 sheets of paper or fraction | | \$0.00 | | | | | |
| Total Sheets Extra Sheets Numb (round | .00 | | | | | | |
| Surcharge of \$130.00 for furnishing the oatl from the earliest claimed priority date (37 C | or dec | claration later than 30 m | X \$250 | | \$0.00 | | |
| CLAIMS NUMBER FILE | | NUMBER EXTRA | RATE | | | | |
| Total Claims 14 - 2 | | 0 | x \$50.00 | | \$0.00 | | |
| dependent Claims 3 - | | 0 | x \$200.00 | | \$0.00 | | |
| ULTIPLE DEPENDENT CLAIMS(S) (if a | | ole) | + \$360.00 | | \$0.00 | | |
| (2) | | OTAL OF ABOVE | · · · · · · · · · · · · · · · · · · · | S = | \$900.00 | | |
| Applicant claims small entity status. So are reduced by ½. | | \$0.00 | | | | | |
| | | | SUBTOTA | L= | \$900.00 | | |
| Processing fee of \$130.00 for furnishing the from the earliest claimed priority date (37 C | | \$0.00 | | | | | |
| | | | NATIONAL FE | E = | \$900.00 | | |
| Fee for recording the enclosed assignment (accompanied by an appropriate cover sheet | 37 CFR (37 CF) | R 1.21(h)). The assignm R 3.28, 3.31). \$40.00 p | nent must be er property | + | \$40.00 | | |
| | | TOTAL F | EES ENCLOSE | D = | \$940.00 | | |
| | _ | | | | Amount to be refunded: | \$ | |
| | | | | | Amount to charged: | s | |
| a. ☐ A check in the amount of \$ to cover the above fees is enclosed. b. ☐ Please charge my Deposit Account No. 01-2135 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposition Account No. 01-2135. A duplicate copy of this sheet is enclosed. d. ☒ Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. | | | | | | | |
| CUSTOMER NO. 020457 ANTONELLI, TERRY, STOUT & KRAUS, L 1300 North 17th Street, Suite 1800 Arlington, VA 22209 | LP | | Willi | Signature / William I. Solomon Name 28,565 | | | |
| | tration | n No. | | | | | |

RM PTO-1390 (REV 10-2003) page 2 of 2

10/589988 P9 Rec'd PCT/PTO 1 520 46379X00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

HIRANO, et al.

Serial No.:

Not Yet Assigned

Filed:

August 18, 2006

Title:

Method of Friction Stir-Spot Welding and Welding Apparatus

REQUEST FOR EXAMINATION AND SEARCH

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

August 18, 2006

Sir:

Applicants respectfully request that a search and examination be conducted in the above-identified application. The necessary search fee and examination fee are enclosed herewith.

Please charge any shortage in the fees due in connection with the filing of this paper, including excess claim fees, to Deposit Account No. 01-2135 (520.46379X00), and please credit any excess fees to such deposit account.

Respectfully submitted,

ANTONELLI, TERRX, STØUT & KRAUS, LLP

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ATTACHMENT

| International Application No. | International Filing Date | Attorney Docket No. | |
|-------------------------------|---------------------------|---------------------|--|
| PCT/JP2005/004602 | March 16, 2005 | 520.46379X00 | |

- 1. International Publication No. ***A-1 Cover Sheet
- 2. PCT Request (in Japanese);
- 3. Notification of Receipt of Record Copy;
- 4. Notification Concerning Submission or Transmittal of Priority Document
- 5. Notification Concerning Transmittal of Copy of International Application as Published or Republished
- 6. International Search Report (in English and Japanese)
- 7. PCT/ISA/202 (in Japanese)